

01-08-02

A

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47418/KMO/B583
Inventor(s) : J. David Rozzell, Jr.
Title : METHOD FOR CHEMICAL TRANSFORMATION USING A MUTATED ENZYME
Express Mail Label No. : EL904791689US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: October 24, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

☒ This application is a Continuation-in-Part of patent application No. 09/702,421.

Prior application information: Examiner Mahreen Chaudhry; Group Art Unit: 1623

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/288,378.

3. **APPLICATION COMPRISED OF**

Specification

29 Specification, claims and Abstract (total pages)

Drawings

-0- Sheets of formal drawing(s) (FIGS. 1 to)

Declaration and Power of Attorney

☒ Newly executed
☐ Unexecuted declaration
☐ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ☐ **Microfiche Computer Program** (Appendix)

5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 47418/KMO/B583

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☒ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other: Verified Statement (Declaration) Claiming Small Entity Status

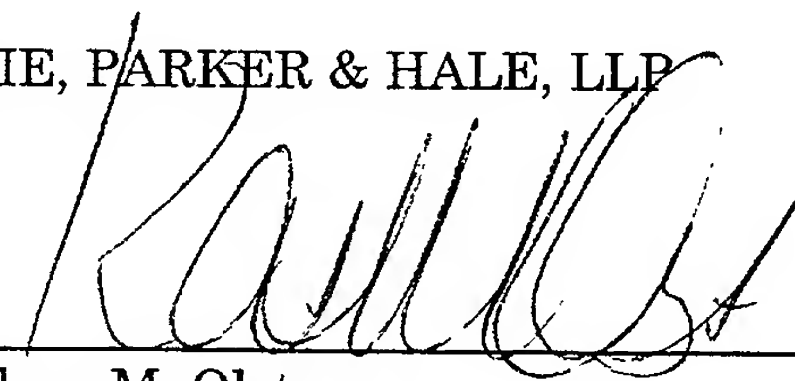
8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Kathleen M. Olster
Reg. No. 42,052
626/795-9900

KMO/edb

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

JC903 U.S. PTO
10/039952
10/24/01

DATE: October 24, 2001

Docket No. : 47418/KMO/B583
Inventor(s) : J. David Rozzell, Jr.
Title : METHOD FOR CHEMICAL TRANSFORMATION USING A MUTATED
ENZYME Duplicate _

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	30 - 20	10	10 x \$9.00	x \$18.00	\$90.00
INDEPENDENT CLAIMS	3 - 3	0	x \$42.00	x \$84.00	-0-
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	-0-
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$460.00
List Independent Claims: 1, 18 and 26					

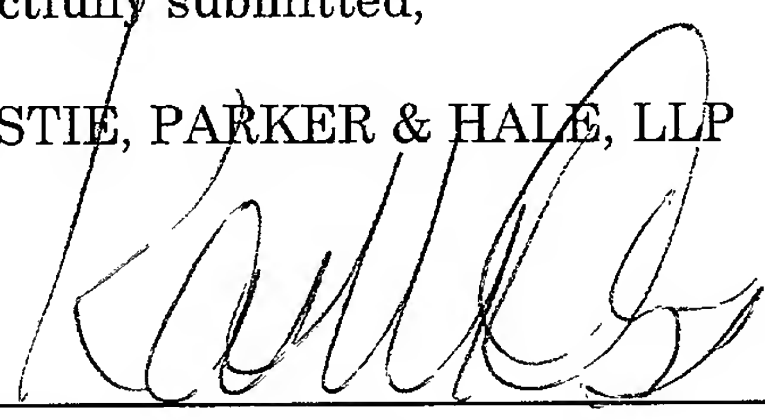
METHOD OF PAYMENT

- ☒ Payment Enclosed: Check for \$460.00
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Kathleen M. Olster
Reg. No. 42,052
626/795-9900

KMO/edb